

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT(S):** Liam D. Comerford et al.  
**SERIAL NO.:** Unassigned  
**FILED:** Concurrently Herewith  
**FOR:** METHODS AND APPARATUS FOR CONTINGENT TRANSFER  
AND EXECUTION OF SPOKEN LANGUAGE INTERFACES

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

**U.S. Patents**

U.S. Patent No. 5,787,152 issued on 07/28/98 to Freadman  
U.S. Patent No. 5,619,684 issued on 04/08/97 to Goodwin et al.  
U.S. Patent No. 5,526,411 issued on 06/11/96 to Krieter  
U.S. Patent No. 5,475,798 issued on 12/12/95 to Handlos  
U.S. Patent No. 5,243,149 issued on 07/07/93 to Comerford et al.  
U.S. Patent No. 5,199,080 issued on 03/30/93 to Kimura et al.  
U.S. Patent No. 4,841,387 issued on 06/20/89 to Rindfuss

**Other Documents**

1. "PDA's Small Form Factors Make Voice a Good Input Choice, When Possible," Portable Design Editorial, [www.portable-design.com/editorial/1999/11/1199\\_26.html](http://www.portable-design.com/editorial/1999/11/1199_26.html), pp. 1-10, November 1999.

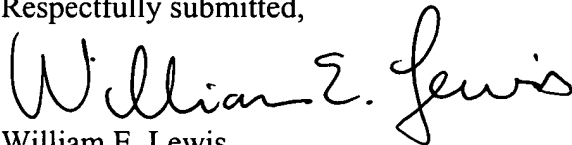
- ✓2. "SAPI Basics," (provided by Microsoft in their developers web page), pp. 1-5.
- ✓3. "Philips Speech Mike, The Revolutionary Trackball, Microphone and Speaker in One Hand-Held Device," p. 1.
- ✓4. "Speech Commander - Verbex Voice Systems," Verbex Voice Systems, <http://www.verbex.com/>, p. 1.
5. "PDA's and Speech Recognition," PDA Developers, S. Mann, pp. 1-2, July/August 1996.
- ✓6. "Voice It® Mobile Digital Recorder, Digital Voice Solutions for Business Mobility," Universal Disk Inc., Speech Recognition, pp. 1-4.
- ✓7. "Voice Notes: A Speech Interface For A Hand-Held Voice Notetaker," L.J. Stifelman, et al., pp. 1-16.
- ✓8. "World's First Hand-Held Speech Productivity System For PalmPilot™, Microsoft® Outlook®, Lotus® Notes, GoldMine® and Symantec® ACT!™ Introduced By Dragon Systems®," Dragon Systems, Inc. Press Releases, pp. 1-4, April 12, 1999.
- ✓9. "Very Different Drums Sound the Call as Microsoft, 3Com March to War," PDA Today, G. Lewis, pp. 1-2.
- ✓10. "Art, Advanced Recognition Technologies Wins PC Maga Golden Gavel Award," PC Magazine, Editors' Day 1998, B. Hoffmann, pp. 1-2, June 30, 1998.
- ✓11. "Voice Response Translator," Metro Nashville Police Dept., p. 1.
- ✓12. "Introducing CellPhone Commander," Integrated Wave Technologies, Inc., pp. 1-2.
- ✓13. "Development of a Voice-Command, Belt-Mounted Translator for Law Enforcement Use," CellPhone Commander, Special Report, pp. 1-15, April 1998.
- ✓14. "What is StoryHarp?," Kurtz-Fernhout Software, [webmaster@kurtz-fernhout.com](mailto:webmaster@kurtz-fernhout.com), p. 1, March 10, 1999.
- ✓15. "StoryHarp Screen Shots," Kurtz-Fernhout Software, [webmaster@kurtz-fernhout.com](mailto:webmaster@kurtz-fernhout.com), pp. 1-3, March 10, 1999.
- ✓16. "Essential Definitions," Kurtz-Fernhout Software, [webmaster@kurtz-fernhout.com](mailto:webmaster@kurtz-fernhout.com), pp. 1-2, March 10, 1999.

/ 17. Qualcomm Preliminary Production Sheet , [www.qualcomm.com](http://www.qualcomm.com), pp. 1-4, September 1998.

/ 18. "Welcome to the T Spaces Project Page," IBM Corporation, pp. 1-29.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink that reads "William E. Lewis". The signature is written in a cursive, flowing style.

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